

**Notification Checklist**  
**Documents that have to be submitted to the SAM**

- c Notification document (original)
- c Movement document (original)
- c Contract according to art. 5 EU Waste Shipment Regulation
- c Evidence of liability insurance and environmental liability insurance (to cover water damages) for the means of transport (including a list with license plates) and a transport registration according to § 53 KrWG or a transport license according to § 54 KrWG (granted by german district governments).  
  
*Every vehicle has to be covered by a motor third party insurance with minimum cover sums of 500.000 € for personal injuries and 1.500.000 € for material damage including water damage during the period of time for shipments. Vessels need to have minimum cover sums of 3.000.000 €. If the motor third party insurance does not cover the entire period of time for shipments, prior to the expiry the new insurance evidence is to be submitted to the SAM.*
- c Route and transport distance between the notifier and the treatment facility, including possible alternative routes, also in case of unforeseen circumstances and, in the event of intermodal transport, the place where the transfer will take place.
- c Financial guarantee or insurance according to art. 6 EU Waste Shipment Regulation.
- c The type and duration of the authorisation pursuant to which the recovery or disposal facility operates.
- c If the waste is destined for an interim recovery or disposal operation, information regarding all facilities where subsequent interim and non-interim recovery or disposal operations are envisaged shall be indicated. Their permits and where applicable proof of disposal or notifications for subsequent ways are to be submitted.
- c If the waste is destined for recovery:
  - a) the planned method of disposal for the non-recoverable fraction after recovery;
  - b) the amount of recovered material in relation to non-recoverable waste;
  - c) the estimated value of the recovered material;
  - d) the cost of recovery and the cost of disposal of the non-recoverable fraction.
- c Chemical analysis and/or composition of the waste.
- c Description of the treatment process of the facility which receives the waste.

*Additional information and documentation that may be requested by the competent authorities:*

- *Description of the production process of the waste.*
- *Copy of the brokerage contract; if necessary broker license if the broker deals with hazardous waste (if a broker or dealer organizes the disposal on behalf of the owner of waste, as in Annex 2 part 1 number 23 of the EU Waste Shipment Regulation described).*
- *Information concerning the measures to be taken to ensure transport safety.*
- *Copy of the permit issued in accordance with Annex 1 No. 5 of Directive 2010/75/EU.*
- *Any other information which is pertinent to the assessment of the notification in accordance with this Regulation and national legislation.*

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